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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

03/22/2007

DENISE G. LaPOINTE LAPOINTE LAW GROUP, PL P.O. BOX1294 TARPON SPRINGS, FL 34688

| EXA | MINER | |
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| NGUYEN | I, HOANG M | |
| ART UNIT | PAPER NUMBER | |
| 3748 | | |

DATE MAILED: 03/22/2007

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/500 429 | 06/28/2004 | Akio Takauchi | 3004.002 | 0336 |

TITLE OF INVENTION: POWER GENERATING SYSTEM UTILIZING BUOYANCY

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$700 | · \$300 | \$0 | \$1000 | 06/22/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450

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| anneamiata All firethan | correspondence including ed below or directed other | na tha I | Datant advance of | rders and notification a) specifying a new co | of n | naintenance fees w pondence address; | and/or | mailed to the current (b) indicating a sepa | correspondence address as rate "FEE ADDRESS" for |
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| CURRENT CORRESPOND | ENCE ADDRESS (Note: Use B | lock I for | any change of address) | | Fee(| s) Transmittal. Thi | s certif | icate cannot be used for | r domestic mailings of the or any other accompanying nt or formal drawing, must |
| | 7590 03/22 | 2/2007 | | | | Corr | lificato | of Mailing or Trans | niccion |
| DENISE G. La | POINTE | | | | I her | reby certify that thi | s Fee(| s) Transmittal is being | deposited with the United |
| LAPOINTE LA' P.O. BOX1294 | W GROUP, PL | | | | addr trans | es Postal Service we ressed to the Mail smitted to the USP | ith sut Stop ΓΟ (57 | ISSUE FEE address 1) 273-2885, on the da | deposited with the United t class mail in an envelope above, or being facsimile ate indicated below. |
| TARPON SPRII | NGS, FL 34688 | | | • | | | | | (Depositor's name) |
| | | | | | _ | | | | . (Signature) |
| | · | , | | • | | ······································ | <u></u> | | (Date) |
| APPLICATION NO. | FILING DATE | | | FIRST NAMED INVEN | TOR | | ATTO | RNEY DOCKET NO. | CONFIRMATION NO. |
| 10/500,429 | 06/28/2004 | | | Akio Takeuchi | | | | 3904.002 | 9336 |
| TITLE OF INVENTION | : POWER GENERATIN | NG SYS | TEM UTILIZING | BUOYANCY | | | | • | |
| | | • | | | | | | | |
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| nonprovisional | YES | | \$700 | \$300 | | \$0 | | \$1000 | 06/22/2007 |
| EXAM | INER | | ART UNIT | CLASS-SUBCLASS | | | | | |
| NGUYEN, | HOANG M | | 3748 | 060-495000 | | | | | |
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| Change of corresp Address form PTO/SI | ondence address (or Cha | inge of (| Correspondence | (1) the names of u or agents OR, altern | nativ | ely, | | | |
| ☐ "Fee Address" ind | ication (or "Fee Address 2 or more recent) attach | " Indica ned. Use | tion form of a Customer | (2) the name of a s registered attorney 2 registered patent listed, no name wil | or a | igent) and the name | es of u | p to | |
| 3. ASSIGNEE NAME A | ND RESIDENCE DATA | А ТО В | E PRINTED ON T | THE PATENT (print o | r typ | pe) | | · · · · · · · · · · · · · · · · · · · | |
| PLEASE NOTE: Unl | ess an assignee is ident h in 37 CFR 3.11. Com | ified be | low, no assignee of this form is NO | data will appear on the T a substitute for filing | he pa | atent. If an assigne assignment. | ee is id | lentified below, the do | ocument has been filed for |
| (A) NAME OF ASSIG | | | | (B) RESIDENCE: (C | | | OUNT | RY) | |
| | | | | | | | | | |
| Please check the appropr | iate assignee category or | catego | ries (will not be pr | rinted on the patent): | | Individual Co | rporati | on or other private gro | up entity Government |
| 4a. The following fee(s) | are submitted: | | 41 | D. Payment of Fee(s): (| • | se first reapply an | y prev | iously paid issue fee s | shown above) |
| Issue Fee | To small entity discount p | • | | A check is enclose | | 1 F DTO 2020 | | -1 4 | |
| | o small entity discount p | | | ☐ Payment by credit☐ The Director is he | | | | | ficiency, or credit any nextra copy of this form). |
| | | | | overpayment, to D | Depós | sit Account Number | ř | (enclose ar | n extra copy of this form). |
| Change in Entity State a. Applicant claim | tus (from status indicate s SMALL ENTITY stati | | • | ☐ b. Applicant is no | long | ger claiming SMAI | L ENT | ΓΙΤΥ status. See 37 CF | FR 1.27(g)(2). |
| | | | | d from anyone other the Office. | nan tl | he applicant; a regi | stered a | attorney or agent; or th | e assignee or other party in |
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| | | | | | or r | = | | | by the USPTO to process) g gathering, preparing, and |
| an application. Confident | uanty is governed by 35 | U.S.C. | 144 and 3/ CFK | depending upon the i | s esti | imated to take 12 n | inutes | to complete, including | g gamering, preparing, and |

submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| LAPOINTE LAW | | ART UNIT | PAPER NUMBER | |
| P.O. BOX1294 TARPON SPRING | S, FL 34688 | , | 3748 DATE MAILED: 03/22/200 | 7 . |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 514 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 514 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.